

AGF NHC TACTICAL ALPHA FUND

Sub Agreement Form Requirements

Overview Checklist

For all Subscribers the following pages must be completed:

- ☐ *Page 1* – Subscription Agreement
- ☐ *Page 4* – Subscription and Subscriber Information. For individual subscribers only
- ☐ *Page 5* – Corporate/Entity Subscribers/Discretionary or managed portfolios only
- ☐ Bottom of *page 5* must be signed by agent regardless of subscriber type

Individual/Joint Accredited Subscribers Only must complete:

- ☐ *Pages 7, 8, 9* – Schedule A – Accredited Investor Certificate
- ☐ *Pages 10 & 11* – Annex A to Schedule A – Risk Acknowledgement Form

Corporate Accredited Subscribers or discretionary or managed portfolios only to complete

- ☐ *Pages 7, 8, 9* – Schedule A – Accredited Investor Certificate

For all Subscribers the following pages must be completed:

- ☐ *Page 13* – Schedule C – Consent to Electronic Delivery of Documents
- ☐ *Page 15* – Schedule E – Enrolment Form for Direct Deposit and Opt Out of Drip if this option has been chosen at time of Fundserv purchase. Attach void cheque.

Annex B:

- ☐ Mandatory for discretionary or managed portfolios and must be provided and completed in full

Section – Page Number	AGF NHC Tactical Alpha Fund Sub Agreement Form Requirements
<p>Page 1</p> <p>Subscription Agreement</p>	<p>Items must be completed:</p> <ul style="list-style-type: none"> • Date of Subscription Agreement • One of the 2 subscriber options must be selected: <ol style="list-style-type: none"> 1. Accredited Investor or 2. Registered as a Portfolio Manager (for a fully managed or discretionary account) • Name and Address of the Responsible Dealer (Responsible Dealer can be the Dealer or the Managed Portfolio purchaser's name) • Name of Subscriber entered. For corporate accounts the name of the subscriber will be the corporation in full • "Subscriber is an individual" or "Subscriber is an entity" must be checked off. For joint personal account please specify signing authority as both to sign or and/or. Please record manually on agreement • Subscription amount of Class A or F units – these are the total gross amount of units purchased
<p>Page 4</p> <p>Subscription and Subscriber Information</p>	<p>All subscriber fields must be completed if the subscriber is an individual purchaser:</p> <ul style="list-style-type: none"> • Signature of Subscriber • Name of Subscriber Family name and given name are mandatory (including any middle initials in their name – i.e., including Sr, Jr, MD, etc.). • SIN • Residential address and phone • Date of Birth • Date of execution • Phone number • Email address • Country of Residence - must be Canada • For Joint subscriber cases: Both subscribers must be accredited together therefore, individually they may not be accredited but combined together they may be • The Advisor signature must be completed

Section – Page Number	AGF NHC Tactical Alpha Fund Sub Agreement Form Requirements
<p>Page 5</p> <p>Corporate/ Entity Subscriber/ Discretionary or managed portfolio</p>	<ul style="list-style-type: none"> • If the subscriber is an 'entity' then the 'Corporate/Entity' section must be completed • The name of the subscriber is the corporation and is not the same person as the name and title • Full Legal name of Non-Individual is required • The CRA Tax Number is mandatory • For the section <i>"If the subscriber is signing as agent or trustee for a beneficial subscriber (a "Disclosed Beneficial Subscriber") and is not purchasing as trustee or agent for accounts fully managed by it, complete the following,"</i> this needs to be completed when a person who has a trustee working for them (i.e., Elderly or someone unable to sign, Parent/Child, etc.) • The 'For Completion by the Issuer' section is completed by AGF Authorizing signing officer upon receipt of the sub agreement – the advisor/subscriber should not complete this section • Name of Agent requires the Advisor signature and is mandatory • The Class of Registration is the Advisor's title (i.e., Investment Advisor, Financial Planner, etc.)
<p>Page 7-9</p> <p>Schedule A Accredited Investor Certificate</p>	<p>Note: Schedule A must be completed if the subscriber is an accredited investor only. If an accredited investor is purchasing under Offering Memorandum qualifications, they can opt out of Schedule A and complete the appropriate sections in Section B found on page 12.</p> <p>Page 7: Province or Territory must be completed for the subscriber (more than one option may be chosen if applicable)</p> <p>Choose one of the Categories for Individual Investors which apply to the subscriber(s)</p> <ul style="list-style-type: none"> • A choice of category(s) of qualification must be indicated from the options beginning on Page 7 to page 9. Review all categories and select and complete the one most applicable • Signature, printed name of subscriber and joint subscriber (if applicable) are required • Confirm that all schedule A's must be completed with a name, date and signature. If not completed, then we need a revised agreement submitted.

Section – Page Number	AGF NHC Tactical Alpha Fund Sub Agreement Form Requirements
Page 10 Annex A to Schedule A	<p>Risk Acknowledgement Form (RAF) for Individual Accredited Investors</p> <p>Note: This form is required for accredited investors only that are individual and not entity/corporations or discretionary or managed portfolios</p> <p>2. Risk Acknowledgement</p> <ul style="list-style-type: none"> • Must complete Risk of Loss \$ amount • All 4 sections must be initialed (liquidity risk, lack of information, lack of advice and Non-Voting). <p>Note: the joint subscriber MUST also initial (if applicable)</p>
Page 11 Annex A to Schedule A Continued	<p>3. Accredited Investor Status</p> <ul style="list-style-type: none"> • Only one selection is required in this section with initials with Joint subscriber (if applicable) <p>4. Your Name and Your Signature</p> <ul style="list-style-type: none"> • All signatures and dates must be completed by the subscriber and advisor <p>5. To be Completed by the Dealer/Advisor</p> <ul style="list-style-type: none"> • All Dealer information – first and last name, telephone, email and of name of firm must be completed



Invested in Discipline

At AGF, our approach is defined by three principles; shared intelligence, measured approach and active accountability. Together, they create a disciplined process that is transparent, repeatable, and deeply woven into our DNA – delivering consistent outcomes for our clients, whatever tomorrow may bring.

It Takes a Tiger™



AGF Investments Inc.

CIBC SQUARE, Tower One, 81 Bay Street, Suite 4000, Toronto, Ontario M5J 0G1
AGF.com Tel: 1-800-268-8583 Fax: 1-888-329-4243 (1-888-FAX-4-AGF)

AGF is dedicated to helping develop business solutions for industry demands on natural resources and finding ways to help minimize our impact on the environment. As a result, we have designed our application forms with a re-usable base on recyclable covers. Our applications are also printed on Forest Stewardship Council® (FSC) certified paper. FSC certification ensures that the paper in this document contains fibre from well-managed and responsibly harvested forests that meet strict environmental and socio-economic standards. AGF is committed to continuing to look for ways to protect and preserve our environment for future generations.

©™ The "AGF" logo and all associated trademarks are registered trademarks or trademarks of AGF Management Limited and used under licence.