

TRANSFER AUTHORIZATION FOR REGISTERED INVESTMENTS

RRSP, LIRA, LRSP, RRIF, LRIF, LIF, RLIF, RLSP, PRIF, TFSA

This form can be used for transferring the registered plans listed above, **except:** (1) RRIF to RRSP transfers, (2) RRIF or RRSP to TFSA transfers, (3) TFSA to RRIF or RRSP transfers, (4) transfers due to death, and (5) transfers due to marital breakdowns.

Please note: The data entered on this form may be scanned and stored electronically. Please print neatly in the spaces provided to ensure completeness, accuracy and machine readability.

**A:
CLIENT
INFORMATION**

Account/Policy Holder Last Name _____ First Name _____ Initial(s) _____

Address _____ City _____ Province _____ Postal Code _____

Social Insurance Number _____ YYYY/MM/DD _____ () _____ () _____

Date of Birth _____ Home Telephone _____ Business Telephone _____ Your E-mail Address _____

**B:
RECEIVING
INSTITUTION
INFORMATION**

AGF Investments Inc. (as agent for B2B Trustco) New accounts require a Harmony application form.

55 Standish Court, Suite 1050 Mississauga Ontario L5R 0G3
Harmony Client Services: Toll-free: 1 800 387-2563 Local: 905 214-8204 Fax: 1 800 268-2120

Group Plan Number (if applicable) _____ Harmonly Account Number _____

Registered Type: RRSP Spousal RRSP LIRA LRSP RRIF Spousal RRIF LIF RLIF RLSP PRIF TFSA

Investment Selection:

Pool/Portfolio Name (Please see AGF.com for the latest fund names and codes.)	Pool/Portfolio Number	Investment Amount (\$ or %)

**LOCKED-IN
CONFIRMATION**

AGF Investments Inc., as agent for B2B Trustco, agrees to administer all locked-in funds transferred under this transfer authorization in accordance with the governing pension legislation indicated in Section "E" below. Any subsequent transfer of these locked-in funds to another Trustee or financial institution will be made only to another registered plan, which must continue to be administered in accordance with legislation of the jurisdiction noted in Section "E" below. No transfer of locked-in funds will be permitted unless the receiving plan is appropriately registered and in compliance with the applicable pension legislation, regulations and the Income Tax Act (Canada).

 _____ YYYY/MM/DD _____

Authorized Signature _____ Date _____

**DEALER
INFORMATION**

Dealer Name _____ Representative Name _____ Dealer Account Number _____

Dealer Number _____ Representative Number _____ Telephone Number _____ Fax Number _____

**C:
CLIENT
DIRECTION TO
RELINQUISHING
INSTITUTION**

Relinquishing Institution Name _____

Address _____ City _____ Province _____ Postal Code _____

Client Account/Policy Number _____ Group Plan Number (if applicable) _____

Transfer: (check one box only)
 All in cash* All as is (in kind) All assets*, but mixed in cash and as is (in kind), Partial* – as listed below or attached list
 see list below or attached list Check here if attaching list:

*Please refer to statement in bold in Client Authorization section below.

Investments Amount	Symbol and/or Certificate Number or Policy Number	Investment Description
<input type="checkbox"/> In kind <input type="checkbox"/> In cash	•	
<input type="checkbox"/> Shares/units <input type="checkbox"/> Dollars		
<input type="checkbox"/> In kind <input type="checkbox"/> In cash	•	
<input type="checkbox"/> Shares/units <input type="checkbox"/> Dollars		

**D:
CLIENT
AUTHORIZATION**

I hereby request the transfer of my account and its investments as described above.

***WHERE I HAVE REQUESTED A TRANSFER IN CASH, I AUTHORIZE THE LIQUIDATION OF ALL OR PART OF MY INVESTMENTS AND AGREE TO PAY ANY APPLICABLE FEES, CHARGES OR ADJUSTMENTS.**

X _____ YYYY/MM/DD _____ YYYY/MM/DD _____

Signature of Account Holder _____ Date _____ Signature of Irrevocable Beneficiary/Former Spouse (if applicable) _____ Date _____

(For locked-in plans) Spouse: I consent to the transfer of the account. _____ YYYY/MM/DD _____

Signature of Spouse (if applicable) _____ Date _____

**E:
FOR USE BY
RELINQUISHING
INSTITUTION
ONLY**

Registered Type: RRSP LIRA LRSP RLIF RRIF: Qualified LIF: Old LIF (if applicable) LRIF RLSP PRIF TFSA Non Qualified New LIF (if applicable) In existence since _____ YYYY/MM/DD _____

Spousal Plan: No Yes – if yes, please complete name and Social Insurance Number information below: _____ Date _____

Spouse's Last Name _____ First Name _____ Initial(s) _____ Spouse's Social Insurance Number _____

Locked In: No Yes _____

Locked-In Funds _____

If spousal waiver/consent form attached, check here: _____ Governing Legislation _____

Current year's investment earnings to date (\$): _____

The default is "unisex", if sex-distinct, check here:

Contact Name _____ Telephone Number _____ Fax Number _____

X _____ YYYY/MM/DD _____

Authorized Signature _____ Date _____